# [TO BE PUBLISHED IN THE GAZETTE OF INDIA, EXTRAORDINARY, PART II, SECTION 3 SUB SECTION (II)] Government of India MINISTRY OF COMMERCE AND INDUSTRY (Department of Industrial Policy and Promotion)

Notification معرب New Delhi, the %. October, 2015

-GSR------ (E). - The following draft rules to further amend the Patents Rules 2003 which the Central Government proposes to make in exercise of the powers conferred by section 159 of the Patents Act, 1970, is hereby published as required by sub section (3) of the said section for the information of all persons likely to be affected thereby, and notice is hereby given that the said draft rules shall be taken into consideration after the expiry of a period of thirty days from the date on which copies of the Gazette of India, in which this notification is published, are made available to the public;

Objections or suggestions, If any, may be addressed to the Secretary, Ministry of Commerce and Industry, Government of India, Udyog Bhawan, New Delhi- 110011 or by e-mail at rajiv.aggarwal@nic.in.

The objections and suggestions, which may be received from any person with respect to the said draft rules before the expiry of the period so specified, shall be considered by the Central Government.

#### **DRAFT RULES**

- (1) These rules may be called "The Patents (Amendment), Rules, 2015".
   (2) They shall come into force on the date of their final publication in the Official Gazette.
- 2. In rule 2 of the Patents Rules, 2003 (hereinafter referred to as the principal rules)after clause (c), the following clause shall be inserted, namely:-

(ca) "electronic transmission duly authenticated" means authentication by digital signature as per section 5 of the Information Technology Act, 2000 (21 Of 2000);

3. In the principal rules, for rule 5, the following shall be substituted, namely:-

"5. "Every person, concerned in any proceedings to which the Act or these rules relate and every patentee, shall furnish to the Controller a postal address for service in India and an e-mail address and also a mobile number provided by a service provider in India and the address shall be treated for all purposes connected with such proceedings or patent as the address of the person concerned in the proceedings or of the patentee. Unless such an address is given, the Controller shall be under no obligation either to proceed or deal with any proceeding, or patent or to send any notice that may be required to be given under the Act or these rules and the Controller may take *suo motu* decision in the matter.".

#### 4. In the principal rules, in rule 6,-

- i) in sub-rule (1), the words "or courier service" wherever they occur shall be omitted.
- ii) after sub-rule (1), the following sub-rule shall be inserted, namely:-

"(1A) Notwithstanding anything contained in sub rule (1), a patent agent registered with the patent office shall send all documents only by electronic transmission duly authenticated. 'Provided that scanned copy of any original document to be submitted shall also be transmitted electronically'";

iii) for sub-rule (2), the following sub-rule shall be substituted, namely:

"(2) Any written communication addressed to a patentee at his postal address or e-mail, as it appears on the register of patents or at his address for service given under rule 5, or to any applicant or opponent in any proceedings under the Act or these rules, at the postal address or e-mail, appearing on the application or notice of opposition, or given for service, shall be deemed to be properly addressed.";

- (iv) in sub-rule (3), the words "or courier service" shall be omitted;
- (v) in sub-rule (4), the words "or courier" shall be omitted;
- (vi) after sub-rule (5), the following sub-rule shall be inserted, namely:-

"(6) Without prejudice to sub-rule (5), the Controller may condone the delay in transmitting or resubmitting a document to the patent office in case of war or natural calamity declared as national emergency by the Government of the country where the applicant resides or submits to the Controller: provided that the applicant shall provide evidence by way of a certificate/notification from the competent authority of the Government of the country to the effect that the situation had occurred which was of such severity that it disrupted the normal communication in that area and relevant action

was taken by the applicant as soon as reasonably possible within one month from the date when such natural calamity or war had ceased to exist:

Provided further that the delay condoned by the Controller shall not exceed the period for which the national emergency was in force".

#### 5. In the principal rules, in rule 7,-

i) in sub-rule (2), for clause (a) the following clause shall be substituted, namely:-

"(a) The fees, payable under the Act or the rule may be paid at the appropriate office either in cash or through electronic means or may be sent by bank draft or banker's cheque payable to the Controller of Patents and drawn on a scheduled bank at the place where the appropriate office is situated and if the draft or banker's cheque is sent by post, the fees shall be deemed to have been paid on the date on which the draft or banker's <u>cheque</u> has actually reached the Controller".

- (ii) in sub-rule (2), clause (b) shall be omitted.
- (iii) for sub-rule (4), the following sub-rules shall be substituted, namely:-

"4 Fees once paid in respect of any proceeding shall not ordinarily be refunded irrespective of whether the proceeding has taken place or not:

Provided that, if the Controller is satisfied that during the online filing process, the fee has been paid more than once for the same proceeding, the additional fee shall be refunded:

Provided further that , if any amount in excess of requisite fee is paid for any proceeding, then, the same shall not be refunded.

(4A) Notwithstanding anything contained in sub-clause (4), the fee paid for request for examination may be refunded to the extent prescribed in the First Schedule, on an application made under sub rule (1A) of rule 24B and consequently, such request for examination shall be deemed to have not been filed".

6. In the principal rules, in rule 8, for sub-rule (2), the following sub-rule shall be substituted, namely:-

"(2) Where no Form is so specified for any purpose, the applicant may use Form 30 specified in the Second Schedule."

#### 7. In the principal rules, in rule 13,-

(i) for sub-rule 4, the following sub-rule shall be substituted, namely:-

"(4) Where the invention requires explanation through drawings, such drawings shall be prepared in accordance with the provisions of rule 15 and shall be supplied with, and referred to in detail, in the description and claims and the features illustrated by a drawing shall be followed by the reference sign in parentheses used in that drawing:

Provided that in case of a complete specification, if the applicant desires to adopt the drawings filed with his provisional specification as the drawings or part of the drawings for the complete specification, it shall be sufficient to refer to them in the description and claims as those left or filed with the provisional specification.";

(ii) in sub-rule (7), for clause (b), the following clause shall be substituted, namely:-

"(b) The abstract shall contain a concise summary of the matter contained in the specification and the summary shall indicate clearly the technical field to which the invention belongs, technical advancement of the invention as compared to the existing knowledge and principal use of the invention excluding speculative use(s) and where necessary, the abstract shall contain the chemical formula, which characterises the invention.";

#### (iii) for sub-rule (8), the following sub-rule shall be substituted, namely:-

"(8) The period within which reference to the deposit shall be made in the specification under sub-clause (A) of clause (ii) of sub-section (4) of section 10 shall be three months from the date of filing of the application.

Provided that in case of a request for early publication, such reference shall be made on or before the date of filing of request for early publication under sub-section(2) of section 11 A"

(9) If the invention as disclosed in the specification uses the biological material from India, the applicant shall provide a declaration in Form 1 that the necessary permission from the competent authority shall be submitted before the grant of patent and

(10) In case the applicant has not submitted necessary permission from the competent authority within the period as prescribed under rule 24B and 24C to put the application in order for grant under section

21 of the Act, the Controller may pass an appropriate order after providing opportunity under section 15".

# 8. In the principal rules, for rule 14, the following rule shall be substituted, namely:-

" 14 Amendments to Specifications:-

(1) When amendments are made to a complete specification or any drawing accompanying it, the page incorporating such amendment shall be retyped and submitted to form a continuous document.

(2) A marked copy clearly identifying the amendments carried out and indicating the portion (page number and line number) of the specification or drawing being amended shall also be filed.

(3) Amendments shall not be made by slips pasted on, or as footnotes or by writing in the margin of any of the said documents and

(4) When a retyped page or pages incorporating amendments are submitted, the corresponding earlier page shall be deemed to have been superseded and cancelled by the applicant or his authorised agent.

9. In the principal rules, in rule 20, for sub-rule (1), the following shall be substituted, namely:-

(1) An application corresponding to an international application filed under Patent Cooperation Treaty may be made in Form 1 under sub-section (1A) of section 7.

Explanation. For the purpose of this rule, "corresponding to an international application" means an international application as filed under International phase of Patent Cooperation Treaty which includes any amendments made by the applicant under Article 19, and communicated to Designated office under Article 20 or any amendment made under sub clause (b) of clause (2) of Article 34 of the Treaty."

#### 10. In the principal rules, in rule 24 B-

(i) after sub-rule (1), following sub-rule shall be inserted, namely:-

(1A) A request for examination filed under sub-rule 1 may be withdrawn by the applicant in Form 29 before the application is referred to the examiner by the controller under clause (i) of sub rule (2) of rule 24B, and such request for examination shall be deemed to have been not filed.";

(ii) in sub-rule 2, for clause (i), the following shall be substituted, namely:-

" (i) Where the request for examination has been filed under sub-rule (1) and application has been published under section 11A, the Controller shall refer the application, specification and other documents related thereto to the examiner and such reference shall be made in order in which the request is filed:

Provided that in case of further application filed under section 16, the order of reference of such further application shall be the same as that of the first mentioned application:

Provided further that in case the first mentioned application has already been referred for examination, the further application shall be referred to the examiner immediately after the request of examination has been filed for such application.";

(iii) for sub-rules (3) and (4), the following clauses shall be substituted, namely:-

"(3) A first examination report along with other documents as may be required, shall be sent to the applicant or his authorised agent ordinarily within one month from the date of the disposal of the report of the examiner by the Controller under clause (iii) of sub rule (2):

Provided that in case where request for examination has been filed by other interested person, only an intimation of such examination may be sent to such interested person and

(4) The time for putting an application in order and under section 21 shall be four months from the date on which the first statement of examination is issued to the applicant to comply with the requirement and

(5) The time for putting an application in order under section 21 as prescribed under sub rule (4) may be further extended for a period of two months on a request in form 4 for extension of time along with prescribed fee is made to the Controller before the expiry period specified under sub rule (4).

(6) The Controller shall dispose of the application made under sub-rule (1) within a period of six months from the date of receipt of the last reply to the first examination report or within a period of six months from the last date to put the application in order for grant under section 21 of the Act, whichever is earlier.

(7) Notwithstanding anything contained in sub-rule (6), the applications where the reply to the first examination report have been filed on or before the commencement of the Patents (Amendment) Rules, 2015 and the date for putting the application in order as prescribed in sub-rules (4) and (5) is over, the Controller shall dispose of such applications within a period of two years from date of receipt of the last reply."

#### 11. After rule 24B, the following shall be inserted, namely:-

(1) " 24C. Expedited examination of applications.- An applicant may file a request for expedited examination in Form 18A along with the fee as specified in the first schedule only by electronic transmission duly authenticated within the period as prescribed in rule 24B on the following grounds, namely, (a) that the applicant in the corresponding international application has designated Indian Patent Office as the International Searching Authority and/ or International Preliminary Examining Authority under Rule 19A and 19F as the case may be; or

(b) the applicant or his assignee or prospective manufacturer (licensee) has already started manufacturing of the invention in India; or

(c) that the applicant or his assignee or prospective manufacturer (licensee) undertakes that manufacturing the invention shall commence within two years from the date of grant of patent, if the same is granted;

Provided that in case where such request for expedited examination is filed under grounds (b) or (c) the application shall be considered only after fulfillment of each of the following conditions, namely:

- (i) that the applicant shall, at the time of filing a request for the expedited examination, submit a corroborating statement from a scheduled bank or authorised financial institution or certified auditor in India as an evidence in support of the possession of required capital as specified by the Central Government and facilities required to manufacture or undertake the manufacture of the invention In India in required quantities as specified by the Central Government; and
- (ii) that in case of a prospective manufacturer who would be the licensee, the applicant shall submit the licence agreement entered into by the applicant or his assignee with the licensee; and
- (iii) the claim or claims of the complete specification for which the request is made shall not be more than twenty claims and relate to a single invention, or to a group of inventions linked so as to form a single inventive concept; and
- (iv) the applicant or his assignee or prospective manufacturer (licensee), shall submit the affidavit in Form 27A at the time of filing the request for expedited examination to the effect that –

a) the manufacturing of the invention in India has already started or shall commence within two years from the date of grant of patent, if granted;

 capital and facilities as specified by the Central Government are available for manufacturing the invention in India in required quantities, and

c) the applicant or his assignee or prospective manufacturer (licensee) obligates himself, herself or itself to manufacture the patented invention in India in required quantities.

(2) An applicant who has already filed a request for examination under rule 24 B may convert the said request to the request for expedited examination under rule 24C by paying the difference in the fees and submitting requisite documents as required under rule 24C, and consequently, the date of filing of such request for expedited examination shall be the date on which the fee for conversion has been paid by the applicant along with requisite documents.

(3) If the applicant has failed to satisfy the ground on which the expedited request for examination is filed or fails to satisfy any of the conditions as mentioned in sub-rule (1), the Controller shall not refer such request to the examiner for expedited examination and shall process the request as under rule 24B with an intimation to the applicant;

Provided that the fee paid for expedited examination shall not be refunded.

(4) On receipt of the request for expedited examination, the Controller shall refer the same along with the application and specification and other documents to the examiner in the serial order of filing of such requests.

(5) The period within which the examiner shall make the report under sub-section (2) of section 12, shall ordinarily be one month but not exceeding two months from the date of reference of the application to him by the Controller.

(6) The period within which the Controller shall dispose of the report of the examiner shall ordinarily be one month from the date of the receipt of such report by the Controller.

(7) A first examination report along with the application and specification shall be sent by the Controller to the applicant or his authorised agent immediately but not later than one month after the disposal of the report of examiner.

(8) The reply to the first examination report along with the subsequent reply, if any, shall be processed in the order in which replies are received in case of expedited examination.

(9) The time for putting an application in order for grant under section 21 shall be four months from the date on which the first statement of examination is issued to the applicant to comply with the requirements.

8

(10) The time for putting an application in order for grant under section 21 as prescribed in sub rule (9) may be further extended for a period of two months on a request for extension in form 4 is made to the Controller for extension of time along with prescribed fee is made to the Controller before the expiry of the period specified under sub-rule (9).

11) The Controller shall dispose off the application within a period of three months from the date of receipt of the last reply to the first examination report or within a period of three months from the last date to put the application in order for grant under section 21 of the Act, whichever is earlier.

(12) The applicant or his assignee or prospective manufacturer shall submit to the Controller the status report with regard to manufacturing the invention in India on annual basis.

(13) Notwithstanding anything contained in sub-rule (1) and (2), the Controller may limit the number of requests for expedited examination to be received during the year by way of a notice to be published in the official journal for such expedited examination.

#### "24 D. Opposition proceedings and consequences of false representation:

(1) The relevant provisions in the Act, and the rules made thereunder, for pre-grant and post-grant opposition proceedings shall be applicable *mutatis mutandis*, as the case may be, for processing an application for which the request for expedited examination has been filed.

- (2) Any false information or false representation furnished by the applicant or his assignee or prospective manufacturer (licensee) for filing the expedited examination and thereafter, or for obtaining the patent by availing the expedited examination facility and not complying with the conditions as prescribed under the Act and the rules made thereunder after the grant of patent, may cause revocation, if granted, under the relevant provisions of the Act".
- 12. In the principal rules, for Rule 26 the following shall be substituted, namely:-

**"26.** A request for withdrawing the application under sub-section (4) of section 11B shall be made in Form 29A."

13. In the principal rules in rule 28, after sub-rule (5), the following sub-rule (6) shall be inserted, namely:-

(6) The hearing may also be held through video- conferencing or other communication devices and, in such case, a written submission and the relevant documents may be filed within fifteen working days from the date of hearing.

Explanation -- For the purposes of this rule, the expression "communication device" has the same meaning as assigned to it in clause (ha) of sub section (ii) of section 2 of the Information Technology Act, 21 of 2000.

#### 14. In the principal rules, in rule 55 sub-rules (4), (5) and (6) the following sub-rules shall be substituted, namely-

"(4) On receiving the notice under sub-rule (3), the applicant shall, if he so desires, file his statement and evidence, if any, in support of his application within three months from the date of the notice with a copy to the opponent.

(5) On consideration of the statement and evidence filed by the applicant, the Controller may either refuse to grant a patent on the application or require the complete specification and other documents to be amended to his satisfaction before the patent is granted.

(6) After considering the representation and submission made during the hearing if so requested, the Controller shall decide on the representation, ordinarily within one month from the completion of above proceedings."

#### 15. In the principal rules, in rule 71 for sub-rule (2), the following shall be substituted namely:-

"(2) The time within which the Controller disposes of the request made under sub-rule (1), except in case of inventions relating to defence or atomic energy applications, shall ordinarily be within a period of twenty-one days from the date of filing of such request.

(3) In case of inventions relating to defence or atomic energy applications, the period of twenty one days shall count from the date of receipt of consent from the Central Government".

#### 16. In the principal rules, for rule 93, the following shall be substituted, namely:-

"93. Entry of Renewal fee:- Upon receipt of the payment of the prescribed renewal fee in respect of a patent, the Controller shall enter in the register of patents the fact that the fee has been paid and the date of payment of such fee and issue a certificate of renewal of the patent."

17. In the principal rules, in rule 103, in sub-rule (2) for clause (ii) the following shall be substituted, namely:-"(ii) has at least fifteen years technical, practical or research experience; and".

# 18. in the principal rules, after rule 103, the following rule shall be inserted, namely:

"103A. Disqualifications for inclusion in Roll of Scientific Adviser - A person shall not be eligible to be included in the roll of Scientific advisor, if he-

- (i) has been adjudged by a competent court to be of unsound mind;
- (ii) is an undischarged insolvent;
- (iii) being a discharged insolvent, has not obtained from the court a certificate to the effect that his insolvency was caused by misfortune without any misconduct on his part;
- (iv) has been convicted by a competent court, whether within or outside India of an offence to undergo a term of imprisonment, unless the offence of which he has been convicted has been pardoned or unless on an application made by him, the Central Government has, by order in this behalf, removed the disability;
- (v) has been guilty of professional misconduct; or
- (vi) has been guilty of negligence or misconduct".

# 19. in the principal rules, for rule 104, the following shall be substituted, namely:-

"104. Manner of application for inclusion in the roll of scientific advisers- Any interested person may apply to the Controller for inclusion of his name in the roll of scientific advisers by furnishing his bio-data".

20. In the principal rules, in rule 107, for clause (c) and proviso thereunder, the following shall be substituted and new clause(d) will be inserted, namely:-

"(c) such person has been convicted of an offence and sentenced to a term of imprisonment or has been guilty of misconduct in his professional capacity and the Controller is of the opinion that his name should be removed from the roll; or (d) when he is dead:

Provided that, except in the cases falling under clause (b) above, before removing the name of any person from the roll of scientific advisers under this rule, such person shall be given a reasonable opportunity of being heard.".

21. In the principal rules in rule 108, for sub-rule (1) the following sub-rule shall be substituted, namely:-

"(1)The register of patent agents maintained under section 125 shall contain the name, nationality, address of the principal place of business, addresses of branch offices, if any, the qualifications, the date of registration of every registered patent agent and the details of their renewal of registration".

22. In the principal rules in rule 109, the following sub-rule (3) shall be substituted, namely:-

"(3) A person desirous to appear in the qualifying examination under rule 110 shall make a request to the Controller along with the fee specified in the First Schedule after notification of such examination and within the period as specified in the notification".

#### 23. In the principal rules in rule 116 clause (d) the following shall be substituted , namely:-

"(d) if he has defaulted in the payment of fees specified in rule 115, by more than three months after they are due; or

(e) if he ceases to be a citizen of India:

Provided that, exceptunder clause (a) and (b), before removing the name of any person from the register of patent agents under this rule, such person shall be given a reasonable opportunity of being heard."

#### 24. In the principal rules after rule 129the following rule shall be inserted, namely:-

**129A** Adjournment of hearing- An applicant for patent or a party to the proceeding may make a request for adjournment of the hearing with reasonable cause with the prescribed fee prescribed in First Schedule, at least five working days before the date of hearing and the Controller, if he thinks fit to do so, and upon such terms as he may direct, may adjourn the hearing and intimate the applicant accordingly:

Provided that the Controller shall not adjourn the hearing for more than three occasions and each adjournment shall not be for more than fifteen days".

#### 25. In the principal rules for rule 133the following rule shall be substituted, namely:-

#### "133. Supply of certified copies and certificates under sections 72 and 147 .-

(1) Certified copies of any entry in the register, or certificates of, or extracts from patents, specifications and other public documents in the patent office, or from registers and other records including records in computer floppies, diskettes or any other electronic form kept there, may be furnished by the Controller on a request therefor made to him and on payment of the fee specified therefor in the First Schedule provided that certified copies shall be issued in the order in which request is filed.

(2) Notwithstanding anything contained in sub-rule (1), certified copies can be obtained expeditiously ordinarily within a period of one week if such request is made along with the fee specified therefore in the First Schedule."

26. In the principal rules in rule 135 for sub-rule (1), the following sub-rule shall be substituted, namely:-

(1) The authorization of an agent for the purposes of the Act and these rules shall be filed in Form 26 or in the form of a power of attorney at the time of filing of the application/documents or within a period of three months from the date of filing of such application/document, failing which no action shall be taken on such application/documents for further processing.

27. In the principal rules in rule 138 for sub-rule (1), the following sub-rule shall be substituted, namely:-

"(1) Except for the time prescribed in the sub-rule (6) of rule 13, clause (i) of sub-rule (4) of rule 20, rule 24B, rule 24C, sub-rule (4) of rule 55, sub-rule (1) of rule 130, sub-rule (2) of rule 130 and sub-rule (1A) of rule 80, the time prescribed in other rules for doing of any act or the taking of any proceeding thereunder may be extended by the Controller for a period of one month, if he thinks it fit to do so and upon such terms as he may direct".

28. In the principal rules, for the First Schedule, the following Schedule shall be substituted, namely :---

#### **"THE FIRST SCHEDULE**

1

#### (See rule 7)

### Table 1 - FEES PAYABLE

| Number   | On what payable  | Numb                                 |                                 | For e-filing   |   |                           | For physical fil                    | ing   |
|----------|--|--------------------------------------|---------------------------------|--|---|---------------------------|-------------------------------------|---|
| of entry |  | er<br>of the<br>releva<br>nt<br>Form | For<br>natural<br>person<br>(s) | natural natural person<br>person alone or jo<br>(s) with natural p |   | For natural<br>person (s) | natural per<br>alone o<br>With natu | n other than<br>rson(s) either<br>or jointly<br>ral person(s) |
|          |  |                                      |                                 | entity   | For others<br>except<br>small<br>entity |                           | For small<br>entity                 | For Others<br>except<br>small entity                          |
| 1.       | 2  | 3                                    | 4                               | 5  | 6                                       | 7                         | 8                                   | 9   |
|          |  |                                      | Rupees                          | Rupees   | Rupees                                  | Rupees                    | Rupees                              | Rupees  |
| 1.       | On application for a patent<br>under sections 7, 54 or 135 |                                      | 1600                            | 4000   | 8,000                                   | 1760                      | 4400                                | 8800  |
|          | and rule 20(1) accompanied                                 |                                      | Multiple                        | Multiple   | Multiple of                             | Multiple                  | Multiple                            | Multiple of   |
|          | by provisional or complete                                 |                                      | of 1600                         | of 4000 in   | 8,000                                   | of 1760 in                | of 4400 in                          | 8,800 in case   |
|          | specification—   |                                      | in case of                      |  | in case of                              |                           | case of every                       |   |
| [        |  |                                      | every                           | every  | every                                   | every                     | multiple                            | multiple  |
|          |  | <br>· i                              | multiple                        | multiple   | multiple                                | multiple                  | priority.                           | priority.   |
|          | (i) for each page of                                       |                                      | priority.                       | priority.  | priority.                               | priority.                 | (i) 440                             |   |
|          | specification (including                                   |                                      | (i) 160                         |  | (i) 800                                 |                           | (ii) 880                            | (i) 880   |
|          |  |                                      |                                 |  |   | (i) 176                   |                                     | (ii) 1760   |

|        | sequence listings) in addition<br>to 30<br>(ii) for each claim in addition<br>to 10.<br>(iii) for each page of sequence<br>listing of nucleotide and, or<br>amino acid under sub-rule(4)<br>of Rule (9) | (ii) 320<br>(iii)160<br>with<br>maximu<br>m<br>32,000 | (ii) 800<br>(iii) 4 | 00 with<br>maximum | (iii) 176 w<br>0 maximum<br>35,200 | ith (iii) 44<br>of with<br>maximum<br>of 88,000 | 0 (iii) 880 wi<br>maximum<br>1,76,000 |
|--------|---|---|---------------------|--------------------|------------------------------------|---|---------------------------------------|
| 2.     | On filing complete<br>specification after provisional 2<br>upto 30 pages having up to 10<br>claims-   | No fee  | No fee              | No fee             | No fee                             | No f <del>ee</del>                              | No fee                                |
|        | (i) for each sheet of<br>specification in addition to 30;<br>(ii) for each claim in addition  | (i) 160   | (i) 400             | (i) 800            | (i) 176                            | (i) 440   | (i) 880                               |
|        | to 10.  | (ii) 320  | (ii) 800            | (ii) 1600          | (ii) 352<br>                       | (ii) 880  | (ii) 1760                             |
| 3.     |   |   |                     |                    |                                    |   |                                       |
| 3.<br> |   | 3 No fee  | No fee              | No fee             | No fee                             | No fee N  | lo fee                                |
| 7.     | i) On request for extension of<br>time under sections 53(2) and 4<br>142(4), rules 13(6), 80(1A) and<br>130 (per month).  | 480   | 1200                | 2400               | 550                                | 1300 2  | 650                                   |
|        | ii) On request for extension 4  | 1000  | 2000                | 4000               | 1100 (per                          | 2200  | 4400 (per                             |
|        | of time under sub-rule (5) of   | (per  | (per                | (per               | month)                             |   | month)                                |
|        | rule 24B  | month)  | month)              | month)             | -                                  | month)  |                                       |
| 1      | iii) On request for extension 4   | 5000  | 10000               | 20000              | 5500 (per                          |   | 22000 (per                            |
|        | of time under sub-rule (10)   | (per  | (per                | (per               | month)                             |   | month)                                |
|        | of rule 24C(  | month)  | month)              | month)             |                                    | month)  | ·,                                    |

|     | On filing a declaration as to     |    | T      | 1      |        |            |        |        |
|-----|-----------------------------------|----|--------|--------|--------|------------|--------|--------|
| 5.  | inventorship under sub-rule       | 5  | No fee | No fee | No fee | No fee     | No fee | No fee |
|     | (6) of rule 13.                   |    |        |        |        |            |        |        |
|     | On application for postdating.    | -  |        |        |        |            |        |        |
| 6.  |                                   |    | 800    | 2000   | 4000   | 900        | 2200   | 4400   |
|     | On application for deletion of    | -  |        |        |        |            |        |        |
| 7.  | reference under section 19 (2).   |    | 800    | 2000   | 4000   | 900        | 2200   | 4400   |
|     | (i) On claim under section 20(1); | 6  | 800    | 2000   | 4000   | 900        | 2200   | 4400   |
| 8.  |                                   |    |        |        |        |            |        |        |
|     | (ii) On request for direction     |    |        |        |        |            |        |        |
|     | under section 20 (4) or 20 (5).   | 6  | 800    | 2000   | 4000   | 900        | 2200   | 4400   |
|     |                                   |    |        |        |        |            |        |        |
| 9.  | On notice                         | _  |        |        |        |            |        |        |
|     | of opposition to grant of patent  | 7  | 2400   | 6000   | 12000  | 2650       | 6600   | 13200  |
| 9A. | (i) On filing representation      |    |        |        |        | -  <br>  . |        |        |
|     | opposing grant of patent          | 7A | No fee | No fee | No fee | No fee     | No fee | No fee |
|     | On giving notice that hearing     | -  |        | -      |        | -          |        |        |
| 10. | before Controller shall be        |    | 1500   | 3750   | 7500   | 1650       | 4150   | 8250   |
|     | attended under sub-rule (2) of    |    |        |        |        |            |        |        |
|     | On application under sections     |    |        |        |        |            | -      |        |
| 11. |                                   | 8  | 800    | 2000   | 4000   | 900        | 2200   | 4400   |
|     |                                   |    |        |        |        |            |        |        |
|     | Request for publication under     |    |        |        |        |            |        |        |
| 12. | section 11A(2) and rule 24A.      | 9  | 2500   | 6250   | 12500  | 2750       | 6900   | 13750  |
|     | Application for withdrawing       |    |        |        |        | ·          |        |        |
| 13. | the application under section     | 29 | No fee | No fee | No fee | No fee     | No fee | No fee |
|     | 11B(4) and sub-rule (1) of        |    |        |        |        |            |        |        |
|     | rule 26 before publication of     |    |        |        |        |            |        |        |
|     | On request for examination of     |    |        |        |        |            |        |        |
| 14. | application for patent—           |    |        |        |        |            |        |        |
|     | (a) under section 11B and         | 18 | 4000   | 10000  | 20000  | 4400       | 11000  | 22000  |
|     | sub-rule (1) of rule24;           |    |        |        |        |            |        |        |
|     | (b) under clause (ii) of sub-     |    | 5600   | 14000  | 28000  | 6150       | 15400  | 30800  |

-

-

16

| 14A | On request for   |     |              |              |  |       |        |         |
|-----|--|-----|--------------|--------------|--|-------|--------|---------|
|     | On request for expedited                               | 1   | 50000        | 125000       | 250000                                       | 55000 | 137500 | 2750000 |
|     | examination of application for                         |     |              |              |  |       |        |         |
|     | patent under rule 24C (up to                           |     |              |              |  |       |        |         |
| 14B | 20 claims)   |     |              |              |  |       |        |         |
| 140 | Conversion of the Request for                          |     | 46000        | 115000       | 230000                                       | 50600 | 126500 | 253000  |
|     | Examination filed under rule                           |     |              |              |  |       |        | 20000   |
|     | 24B to Request for expedited                           |     | [            |              |  |       |        |         |
| -   | examination under rule 24 C                            |     |              |              |  |       |        |         |
|     | On application under section                           |     | <u> </u>     | <del> </del> |  |       |        |         |
| 15. | 44 for amendment of patent.                            | 10  | 2400         | 6000         | 12000  | 2650  | 6600   | 13200   |
|     | On application for directions                          |     | ┨━━━         | +            |  |       |        |         |
| 16. | under section 51(1) or 51(2).                          | 11  | 2400         | 6000         | 12000  | 2650  | 6600   | 13200   |
| 17. | On request for grant of a                              |     |              | <u> </u>     | +  |       |        |         |
|     | patent under sections 26(1) 1                          |     | 2400         | 6000         |  |       |        |         |
|     | and 52(2).   |     | 2400         | 6000         | 12000  | 2650  | 6600   | 13200   |
|     | On request for converting a                            |     |              |              | +  | ┥──── |        |         |
| 18. | patent of addition to an                               |     | 2400         | 6000         | 12000  |       |        |         |
|     | independent patent under                               |     |              | 0000         | 12000  | 2650  | 6600   | 13200   |
|     | section 55 (1).  |     |              |              |  |       |        |         |
| 9.  | For renewal of a patent under                          |     |              |              | <u> </u>                                     |       |        |         |
|     | section 53-  |     |              |              |  |       |        |         |
| )   | before the expiration of the -                         | -+  |              |              | +  |       |        |         |
|     | 2 <sup>nd</sup> year from the date of                  | 8   | 3 <b>0</b> 0 | 2000         | 4000   | 900   | 2200   | 4400    |
|     | patent in respect of 3 <sup>rd</sup> year;             |     |              |              |  |       |        |         |
| )   | before the expiration of the -                         |     |              |              |  |       |        | -       |
|     | 3 <sup>rd</sup> year in respect of the 4th             | 8   | 300          | 2000         | 4000   | 900   | 2200   | 4400    |
|     | year;  |     | [            |              |  |       |        |         |
| i)  | before the expiration of the                           |     |              |              | <u>                                     </u> | ļ     |        |         |
|     | 4 <sup>th</sup> year in respect of the 5 <sup>th</sup> | 8   | <b>600</b>   | 2000         | 4000   | 900   | 2200   | 4400    |
|     | year;  |     |              |              |  |       |        |         |
|     | before the expiration of the                           | -+- |              |              | ·  |       |        |         |
|     | 5 <sup>th</sup> year in respect of the 6 <sup>th</sup> | 8   | 00           | 2000         | 4000   | 900   | 2200   | 4400    |
|     | year;  |     |              |              |  |       |        |         |

~

| (v)      | before the expiration of the -                           |      |       |       |      |             |        |
|----------|--|------|-------|-------|------|-------------|--------|
|          | 6 <sup>th</sup> year in respect of the 7 <sup>th</sup>   | 2400 | 6000  | 12000 | 2650 | 6600        | 13200  |
|          | year;  |      |       |       |      |             |        |
| (vi)     | before the expiration of the                             |      |       |       |      |             |        |
|          | 7 <sup>th</sup> year in respect of the 8 <sup>th</sup>   | 2400 | 6000  | 12000 | 2650 | 6600        | 13200  |
|          | year;  |      | _     |       |      |             |        |
| (vii)    | before the expiration of the                             |      | 6000  | 12000 | 2050 | 6600        | 13200  |
|          | 8 <sup>th</sup> year in respect of the 9 <sup>th</sup>   | 2400 | 6000  | 12000 | 2650 | 0000        | 15200  |
| /        | year;<br>before the expiration of the -                  |      |       |       |      |             |        |
| (viii)   |  | 2400 | 6000  | 12000 | 2650 | 6600        | 13200  |
|          | 9th year in respect of the                               | 2400 |       | 12000 | 2050 | 0000        | 15200  |
|          | 10 <sup>th</sup> year;                                   |      |       |       |      |             |        |
| (ix)     | before the expiration of the                             |      |       |       |      |             |        |
|          | 10 <sup>th</sup> year in respect of the                  | 4800 | 12000 | 24000 | 5300 | 13200       | 26400  |
|          | 11 <sup>th</sup> year;                                   |      |       |       |      |             |        |
| (x)      | before the expiration of the                             |      |       |       |      |             |        |
|          | 11 <sup>th</sup> year in respect of the                  | 4800 | 12000 | 24000 | 5300 | 13200       | 26400  |
|          |  |      |       |       |      |             |        |
| (wi)     | 12 <sup>th</sup> year;<br>before the expiration of the - |      |       |       |      |             |        |
| (xi)     |  | 4800 | 12000 | 24000 | 5300 | 13200       | 26400  |
|          | 12 <sup>th</sup> year in respect of the                  |      | 12000 | 24000 | 5500 | 10200       | 20,000 |
|          | 13 <sup>th</sup> year;                                   |      |       |       |      |             |        |
| (xii)    | before the expiration of the                             |      |       |       |      |             |        |
|          | 13th year in respect of the                              | 4800 | 12000 | 24000 | 5300 | 13200       | 26400  |
|          | 14 <sup>th</sup> year;                                   |      |       |       |      |             |        |
| (xiii)   | before the expiration of the -                           |      |       |       |      |             |        |
|          | 14 <sup>th</sup> year in respect of the                  | 4800 | 12000 | 24000 | 5300 | 13200       | 26400  |
|          | 15 <sup>th</sup> year;                                   |      |       |       |      |             |        |
| (xiv)    | before the expiration of the -                           |      |       |       |      | · <b></b> · |        |
| ()       | 15 <sup>th</sup> year in respect of the                  | 8000 | 20000 | 40000 | 8800 | 22000       | 44000  |
|          |  |      |       |       |      |             |        |
| <u> </u> | 16 <sup>th</sup> year;                                   |      |       |       |      |             |        |
| (xv)     | before the expiration of the                             | 0000 | 20000 | 40000 | 8900 | 22000       | 44000  |
|          | 16 <sup>th</sup> year in respect of the                  | 8000 | 20000 | 40000 | 8800 | 22000       | 44000  |
|          | 17 <sup>th</sup> year;                                   |      |       |       |      |             |        |
| (xvi)    | before the expiration of the -                           |      |       |       |      |             |        |
|          | 17 <sup>th</sup> year in respect of the                  | 8000 | 20000 | 40000 | 8800 | 22000       | 44000  |
|          | 18 <sup>th</sup> year;                                   |      |       |       |      |             |        |
| (xvii)   | before the expiration of the -                           |      |       |       |      |             |        |
|          | 18 <sup>th</sup> year in respect of the                  | 8000 | 20000 | 40000 | 8800 | 22000       | 44000  |
| ŀ        |  |      |       |       |      |             |        |
| L        | 19 <sup>th</sup> year;                                   |      |       |       |      |             |        |

-

٦,

| (xviii)    | before the expiration of the            | <u> </u> | <del></del> |             |            |                |            |             |
|------------|---|----------|-------------|-------------|------------|----------------|------------|-------------|
|            | 10 <sup>th</sup> was to                 |          |             |             |            |                |            |             |
|            | 19 <sup>th</sup> year in respect of the |          | 8000        | 20000       | 40000      | 8800           | 22000      | 44000       |
| ┝───       | 20 <sup>th</sup> year.                  |          |             |             |            |                |            |             |
| 20.        | application 10F                         |          |             |             |            |                |            |             |
| 20.        | amendment of application for            | 13       |             |             |            |                |            |             |
|            | patent or complete                      |          |             |             |            |                |            |             |
|            | specification or other                  |          |             |             |            |                |            |             |
|            | related documents under                 |          |             |             |            |                |            |             |
| (i)        | before grant of patent;                 | 1        | 300         | 2000        | 4000       | 900            |            |             |
| (ii)       | after grant of patent;                  |          | 1600        | 4000        |            |                | 2200       | 4400        |
| (111)      |   | Ľ        |             | 4000        | 8000       | 1750           | 4400       | 8800        |
| ()         |   |          |             |             |            |                | -+         |             |
|            | changing name or address or             | 3        | 20          | 800         | 1600       | 350            | 900        | 1750        |
| ·—         | nationality or address for              |          |             |             |            |                |            |             |
| <b>1</b> 4 | On notice of opposition to an           |          |             |             |            |                |            | -+          |
| 21.        | application under sections 14           | 4 2      | 400         | 6000        | 12000      | 2650           | 6600       | 13200       |
|            | 57(4), 61(1) and 87(2) or to            |          |             |             |            |                |            | 15200       |
|            | surrender a patent under                |          |             |             | 1          |                |            |             |
|            | section 63(3) or to a request           |          |             |             |            |                |            |             |
|            | On application for restoration          |          |             | <u> </u>    | +          | +              | ┥────      | <u> </u>    |
| 2.         | of a patent under section 60. 15        | 24       | 100         | 6000        | 12000      |                |            |             |
|            | Additional fee for restoration          |          |             |             | 12000      | 2650           | 6600       | 13,200      |
| 3.         |   |          |             |             |            |                | }          |             |
|            | On notice of offer to                   |          | 00          | 12000       | 24000      | 5300           | 13200      | 26400       |
| 4.         | oner to                                 |          |             |             |            |                |            | †———        |
| _          | surrender a patent under –              | 10       | 00          | 2500        | 5000       | 1100           | 2750       | 5500        |
| 5          | On application for the entry            |          |             | <u>  </u>   | ┣━━━       | ┼────          | <b> </b>   |             |
| i          | in the register of patents of 16        | 160      | 00          | 4000        | 9.000      |                | -          |             |
|            | the name of a person entitled           | í        |             |             | 8,000      | 1750           | 4400       | 8,800       |
|            |   | (In      |             | (In respect | (in        | (In respect of | (In        | (In respect |
|            | to a patent or as a share or as         | res      | pect        | of each     | respect of | each patent)   | respect of | of each     |
|            | a mortgage or as licensee or            | of       | each        | patent)     | each       |                | each       | patent)     |
|            | as otherwise or for the entry           | pat      | ent)        |             | patent)    |                | patent)    |             |
|            | in the register of patents of           |          |             |             |            |                | potenty    |             |
|            | notification of a document              |          |             |             |            |                |            |             |
| 1          | under sections 69(1) or 69(2)           |          |             |             |            |                |            |             |
|            | and sub-rules (1) and (2) of            |          |             |             |            |                |            |             |
|            |   |          |             |             |            |                |            |             |

| r   | On application for alteration       |    | Γ    |          |            | T        |          | <u> </u> |
|-----|-------------------------------------|----|------|----------|------------|----------|----------|----------|
| 20  |                                     |    | 220  | 200      | 1600       | 350      | 900      | 1750     |
| 26. | of an entry in the register of      |    | 320  | 800      | 1000       | 350      | 900      | 1/50     |
|     | patents or register of patent       |    |      |          |            |          |          |          |
|     | agent under sub-rule (1) of         |    |      |          |            |          |          |          |
|     | On request for entry of an          |    |      |          | 1          |          |          |          |
| 27. | additional address for service      |    | 800  | 2000     | 4000       | 900      | 2200     | 4400     |
|     | in the Register of Patents          |    |      |          |            |          |          |          |
|     | under sub-rule (3) of rule 94       |    |      |          |            |          |          |          |
|     | On application for compulsory       |    |      |          | <u> </u>   |          |          |          |
| 28. | license under sections 84(1), 91    | 17 | 2400 | 6000     | 12000      | 2650     | 6600     | 13200    |
|     | (1), 92(1) and 92A.                 |    |      |          |            |          |          |          |
|     | On application for revocation       |    |      |          |            |          |          |          |
| 29. | of a patent under section           | 19 | 2400 | 6000     | 12000      | 2650     | 6600     | 13200    |
|     | On application for revision of      |    |      |          | <u> </u>   |          |          |          |
| 30. | terms and conditions of             | 20 | 2400 | 6000     | 12000      | 2650     | 6600     | 13200    |
|     | licence under section 88(4).        |    |      |          |            | 1        |          |          |
|     | On request for termination of       |    |      |          |            |          | <u> </u> |          |
| 31. | compulsory licence under            | 21 | 2400 | 6000     | 12000      | 2650     | 6600     | 13200    |
|     | section 94.                         |    |      |          |            |          |          |          |
|     | On application for registration     |    |      |          |            | <u> </u> |          |          |
| 32. | as a patent agent under sub-        | 22 | 3200 | -        | -          | 3500     | -        | -        |
|     | rule (1) of rule 109 or rule        |    |      |          |            |          |          |          |
|     | On request for appearing in         |    |      | <u> </u> | - <u> </u> |          |          |          |
| 33. | the qualifying examination          |    | 1600 | -        | -          | 1750     |          | -        |
|     | under sub-rule (3) of rule 109.     |    |      |          |            |          |          |          |
|     | For continuance of the name         |    |      |          | <u> </u>   |          |          |          |
| 34. | of a person in the register of      |    |      |          |            |          |          |          |
|     | patent agent—                       |    |      |          |            |          |          |          |
|     |                                     |    |      |          |            |          |          |          |
|     | (i) for the $1^{*}$ year to be paid |    |      |          |            |          |          |          |
|     | along with registration;            | -  | 800  | _        |            | 900      |          |          |
|     |                                     |    |      |          |            |          | _        | -        |
|     | (ii) for every year excluding       |    |      |          |            |          |          |          |
|     | the1st year to be paid on the       | -  | 800  | -        | -          | 900      | _        | _        |
|     | On application for duplicate        |    |      | <u> </u> |            |          |          | -        |
| 35. | certificate of patent agent         |    | 1600 | _        |            | 1750     |          |          |
|     | under                               |    |      | •        |            | 2/30     |          |          |
|     |                                     |    | l    | L        | -          |          |          | -        |

<u>.</u> .

| -    | On application for restoration   | 160           |          | - <u></u> |            |                  |             |              |
|------|----------------------------------|---------------|----------|-----------|------------|------------------|-------------|--------------|
| 36.  | lof.                             | 23 (Piu       | -        |           |            | 1750             |             |              |
|      | the name of a person in the      |               | -        | -         | -          | (Plus            | -           | -            |
|      | register of patent agents        |               | itinuat  |           |            | continuation     |             |              |
|      | under autor (                    | ion           |          |           |            | fee unde         | er          |              |
|      | under sub-rule (1) of rule 117.  | und           | ler      |           |            | entry numbe      | er          | 1            |
| ļ    | · u.e 117,                       | enti          | ry       |           |            | 34)              |             | :            |
|      |                                  | nun           | nber     |           |            |                  |             |              |
|      | On a request for correction of - |               |          |           |            |                  |             |              |
| 37.  | clerical error under section     | 800           |          | 2000      | 4000       | 900              | 2200        |              |
|      | 78(2).                           |               |          |           |            |                  | 2200        | 4400         |
|      | On application for review or     |               |          |           | +          |                  | ╉┯╤╼━       |              |
| 38.  | setting aside the decisions or 2 | 4 1600        |          | 4000      | 8000       | 1750             | 4400        |              |
|      | order of the controller under    |               |          |           |            | 1.50             | 14400       | 8800         |
|      |                                  |               |          |           |            |                  | [           |              |
| 39   | On application for permission    |               |          |           |            |                  | <u> </u>    |              |
| 35   | for applying patent outside 2    | 5 1600        | 4        | 1000      | 8000       | 1750             | 4400        | 8800         |
|      | India under section 39 and       |               |          |           |            |                  |             |              |
| <br> | On application for duplicate     |               |          |           | <u> </u>   |                  |             |              |
| 40   | patent under section 154 and     | 1600          |          |           |            |                  |             |              |
| [    | rule 132.                        | 1000          | 4        | 000       | 8000       | 1750             | 4400        | 8800         |
|      | (i) On request for certified     |               |          |           | ┨─────     | <u>├</u>         |             |              |
| 41   | copies under section 72          | 1000          | 2        | 500       | 5000       | 1100             | 276.0       |              |
|      | or for certificate under section |               |          |           |            | 1100             | 2750        | 5500         |
|      | 147 and sub-rule (1) of rule     | ( un t        | 30/      | un to 20  | ( up +=    |                  |             |              |
|      | 133.                             | pages         |          |           |            | ( up to 30       |             | 1 1          |
|      |                                  | and,          |          | iges and, |            |                  | pages and,  |              |
|      |                                  |               | }        | ereafter, | i          | thereafter, 30 t | hereafter,  | thereafter,  |
|      |                                  | l- ac         | inte  /5 | for each  |            | for each extra   | 75 for each | 150 for each |
|      |                                  |               | for      | tra page) |            | page) e          | extra page) | extra page)  |
|      |                                  | each          |          |           | each extra |                  |             |              |
|      |                                  | <u>lextra</u> |          |           | page)      |                  | 1           |              |

|          | (ii) On request for certified  |    | 3000      | 6000 (up    | 12000       | 3300 (up to    | 6600 (up    | 13200 (up      |
|----------|--------------------------------|----|-----------|-------------|-------------|----------------|-------------|----------------|
|          | copies under section 72 or for | i  |           |             | 1           | 30 pages and   |             | to 30 pages    |
|          |                                |    |           |             |             | thereafter, 30 |             |                |
|          | certificate under section 147  |    | pages     | and         | 1           |                |             |                |
|          | and sub-rule (2) of rule 133 . |    |           |             |             | for each extra |             |                |
|          |                                |    |           | 30 for each |             |                |             | for each extra |
|          |                                |    | r, 30 for | extra page) | extra page) |                | extra page  | page)          |
|          | For certifying office copies,  |    | each      |             |             |                |             |                |
| 42       | printed each.                  |    | 800       | 2000        | 4000        | 900            | 2200        | 4400           |
| 42       |                                |    |           | 2000        | 4000        |                | 2200        | 4400           |
| 43       | On request for inspection of   |    | 320       | 800         | 1600        | 350            | 900         | 1750           |
|          | register under section 72,     |    |           |             |             |                |             |                |
|          | inspection under rule 27 or    |    |           |             | F           |                |             |                |
|          |                                |    |           |             |             |                |             |                |
|          | On request for information     |    |           |             |             |                |             |                |
| 44       | under section 153 and          |    | 480       | 1200        | 2400        | 550            | 1300        | 2650           |
| <u>-</u> | rule134.                       |    |           |             |             |                |             |                |
| 45       | On form of authorisation of    | •• |           |             |             |                |             |                |
| 45       |                                | 26 | No fee    | No fee      | No fee      | No fee         | No fee      | No fee         |
|          | On petition not                |    |           |             |             |                |             |                |
| 46       |                                |    | 1600      | 4000        | 8000        | 1750           | 4400        | 8800           |
|          | For supplying of photocopies   |    |           |             |             |                |             |                |
| 47       | of the documents per page.     |    | 8         | 8           | 8           | 10             | 10          | 10             |
| j        | Transmittal fee for            |    |           |             |             |                |             |                |
| 48       | International                  |    | 3200      | 8000        | 16000       | 3500           | 8800        | 17600          |
|          | application                    |    |           |             |             |                |             |                |
|          | For preparation of certified   | -  | 1000      | 2500        | 5000        | 1100           | 2750        | 5500           |
| 49       | copy of priority document and  |    |           |             |             |                |             |                |
|          | for transmission of the same   |    | (up to 30 | (up to 30   | (up to 30   | (up to 30      | (up to 30   | (up to 30      |
|          | to the International Bureau of |    | pages     | pages and,  | pages       | pages and,     | pages and,  | pages and,     |
|          | World Intellectual Property    |    | and,      | thereafter, | and,        | thereafter, 30 | thereafter, | thereafter,    |
|          | Organisation.                  |    | thereafte | 75 for each | thereafter, | for each       | 75 for each | 150 for each   |
|          |                                | :  | r, 30 for | extra page) | 150 for     | extra page)    | extra page) | extra page)    |
|          |                                |    | each      |             | each extra  |                |             |                |
|          |                                |    | extra     |             | page)       | r              |             |                |
|          |                                |    | page)     |             |             |                |             |                |

| 50 | On statement regarding                             | 2  |              |        |        |              |        |        |
|----|--|----|--------------|--------|--------|--------------|--------|--------|
|    | working of a patented<br>invention on a commercial | 27 | No fee       | No fee | No fee | No fee       | No fee | No fee |
|    | scale in India under section                       |    |              |        |        |              |        |        |
| 51 | To be submitted for claiming                       |    | +            |        |        |              |        | 1      |
|    | the status of a sur                                | 28 | No fee       | No fee | No fee | No fee       | No fee | No fee |
| 52 | Request for Adjournment of                         |    | <del> </del> | ╉╼╼╼╼  | -      | - <u> </u>   |        |        |
|    | hearing under rule 129A                            |    | 1000         | 2500   | 5000   | 1100         | 2750   | 5500   |
| 3  | Miscellaneous form under                           | 30 |              | L      | A      | s Applicable |        |        |
|    | sub-rule (2) of rule 8                             |    | L            |        |        |              |        |        |

#### **Table II - FEES REFUNDABLE**

| No. of | On what account fee           | Form no. | Refund of fees                              |
|--------|-------------------------------|----------|---|
| Entry  | refundable                    |          |   |
|        | Request for withdrawal of the |          | 90% of fee paid for request for examination |
|        | refund of fees under sub-rule |          |   |
| Note   | (1A) of rule 24B              |          |   |

-

1) All the Forms, Applications, Requests, Notices and Petitions shall be filed in duplicate unless otherwise specified in the rules.

2) Fee as indicated above against each activity shall be rounded to nearest 100.

#### In the Principal rules, in the Second Schedule under the heading "FORMS", in the "LIST OF FORMS"----29.

against "Form No. 4", for entry under column number 2 entries shall be substituted, namely:-(i) "Sections 53(2), and 142 (4); sub-rule (1A) of rule 80(1A)."

(ii) against "Form No. 13", for entries under column number 3, ,the entry shall be substituted, namely:-

"Application for amendment of the application for patent or complete specification or any document related thereto;"

(iii) after "Form No. 18", the following shall be inserted, namely:-

| 1    | 2                         | 3   |
|------|---------------------------|---|
| "18A | Section 11 B and Rule 24C | Request for expedited examination of application<br>for patent" |

(iv) after "Form No. 28", the following shall be inserted, namely:-

| "29 | Clause(ii) of subrule 4 of rule | Request for withdrawal of request for                              |
|-----|---------------------------------|--|
|     | 7, rule 26                      | examination and Request for withdrawal of a pplication For Patent; |

(v) after "Form No. 29", the following shall be inserted, namely:-

| "30 | sub-rule (2) of | To be used when no other form is prescribed." |
|-----|-----------------|---|
|     | rule 8          |   |
|     |                 |   |

30. In the Principal rules, in the Second Schedule,-

-

.--

(i) for "Form No. 1", the following Form shall be substituted, namely:-

| FORM 1   |                          |                               |        |                         |  |               |                      |
|--|--------------------------|-------------------------------|--------|-------------------------|--|---------------|----------------------|
| 1  | ACT 1970/20 of 1970)     |                               |        | (FOR OFFIC              | E USE                                  | ONLY)         |                      |
| THE PATENTS ACT 1970(39 of 1970) and<br>THE PATENTS RULES, 2003                                |                          | Application                   | No.    |                         | ······································ |               |                      |
|  |                          |                               |        |                         |  |               |                      |
| APPLICATION FOR GRANT OF PATENT<br>(See section 7, 54 and 135 and rule sub-rule (1) of rule 20 |                          | Filing date:                  |        |                         |  |               |                      |
|  | , 54 and 135 and rule si | ub-rule (1) of rule           | e 20   |                         |  | _             |                      |
|  |                          |                               |        | Amount of F             | ee pa                                  | id:           |                      |
|  |                          |                               |        | CBR No:                 |  |               |                      |
|  |                          |                               |        | Signature:              |  |               |                      |
| ALLOTTED BY C  | -                        |                               |        |                         |  |               |                      |
| 2. TTPE OF APP   | PLICATION [Please tick   | ( $\checkmark$ ) at the appro | priate | category]               |  |               |                      |
| Ordinary()   |                          | Convention (                  | )      |                         | P                                      | <br>CT-NP( )  |                      |
| Divisional ()  | Patent of Addition (     | Divisional ()                 | Paten  | nt of Addition          |  | ivisional ()  | Patent of Addition() |
| 3. APPLICANT(S   |                          |                               | )      |                         |  |               |                      |
| Name in Full   | "<br>~~~                 | <u> </u>                      |        |                         |  |               |                      |
| Manie in Full  |                          | Nationality                   |        | intry of                | Add                                    | ress of the A | pplicant             |
|  |                          |                               |        |                         |  |               | T                    |
|  |                          |                               |        |                         |  |               |                      |
|  |                          |                               |        |                         | Stree                                  | et            |                      |
|  |                          |                               |        |                         | City                                   |               |                      |
|  |                          |                               |        |                         | State                                  |               |                      |
|  |                          |                               |        |                         | Cour                                   |               |                      |
| . CATEGORY OF  | APPLICANT [Please tic    | k (✓) at the ann              | ropria |                         | Pin c                                  | ode           |                      |
| Natural Person(  |                          | Other than Natu               |        |                         |  |               |                      |
| ·  |                          | Small Entity( )               |        | rson<br>— , — . — . — . |  |               |                      |
| . INVENTOR(S)  | Please tick (✓ ) at the  |                               |        |                         |  |               | Others ( )           |
|  | ntor(s) same as the      |                               | SOLA]  |                         |  |               |                      |
| pplicant(s) name   |                          | Yes()                         |        |                         |  | lo( )         |                      |
|  |                          |                               |        |                         |  |               |                      |

| Name in Ful   |  | Nationa                                |            | Country       | of    | λdd    | ress of the | Inventor                    |
|---------------|--|--|------------|---------------|-------|--------|-------------|-----------------------------|
| Name in Fui   | II                                       | Nationa                                | шсу        | Residence     | 01    | Add    | ress of the | Inventor                    |
| <u>_</u>      |  |  |            |               |       | Hou    | se No.      |                             |
|               |  |  |            |               |       | Stre   | et          |                             |
|               |  |  |            |               |       | City   | <u> </u>    |                             |
|               |  |  |            |               |       | Stat   | e           |                             |
|               |  |  |            |               |       | Cou    | ntry        |                             |
|               |  |  |            |               |       | Pin    | code        |                             |
| 6. TITLE OF   | THE INVENTION                            | <b>I</b>                               |            |               |       |        |             |                             |
|               |  |  |            |               | _     |        | · ·         |                             |
|               |  |  |            |               |       |        |             |                             |
| 7. IF BIOLOG  | GICAL MATERIAL                           | IS USED IN THE INV                     | VENTION [P | lease tick (√ | ´) at | the a  | ppropriat   | e category]                 |
| Yes()         |  |  |            | No()          |       |        |             |                             |
| If "Yes", inc | licate the name                          | of the country of                      | source and | geographica   | al or | igin d | of the biol | ogical material used in the |
| invention     |  |  |            |               |       |        |             |                             |
| Source of th  | e Biological Mat                         | erial                                  |            | Geographi     | cal C | rigin  | of the Biol | ogical Material             |
|               |  | ······································ |            | · · · · ·     |       | •      |             |                             |
|               |  |  |            | INPA No.      |       |        | <u> </u>    |                             |
| 8. AUTHOR     | 8. AUTHORISED REGISTERED PATENT AGENT(S) |  |            |               |       |        |             |                             |
|               |  |  |            | Name          |       |        |             |                             |
| 9. ADDRESS    | FOR SERVICE O                            | F APPLICANT IN INC                     | DIA        | Name          |       |        | <u> </u>    |                             |
|               |  |  |            | Postal Add    | ress  |        |             |                             |
|               |  |  |            | Telephone     | No.   |        |             |                             |
|               |  |  |            | Mobile No     | •     |        | <u> </u>    |                             |
|               |  |  |            | Fax No.       |       |        | <u>+</u>    |                             |
|               |  |  |            | Email ID      |       |        | <u> </u>    |                             |
| 10. IN CASE   | OF APPLICATIO                            | N CLAIMING PRIOF                       |            | LICATION FI   | LED   | IN CO  |             | N COUNTRY, PARTICULARS      |
|               | TION APPLICATI                           |  |            |               |       |        |             | ,                           |
| Country       | Application                              | Filing date                            | Name       | of the        | Tit   | le     | of the      | IPC (as classified in the   |
|               | Number                                   |  | applicant  |               |       | entio  |             | convention country)         |
|               |  |  |            |               |       |        |             |                             |
| 11. IN CASE   | OF PCT NATIO                             | NAL PHASE APPLIC                       | TION. PAR  | TICULARS OF   | F INT |        |             | PPLICATION FILED UNDER      |
|               | OPERATION TRE                            |  |            |               |       |        |             |                             |
| ·             |  |  |            |               |       |        |             |                             |

| International application number  | International filing date  |
|---|--|
| 12. IN CASE OF DIVISIONAL APPLICATION FILE<br>APPLICATION   | D UNDER SECTION 16, PARTICULARS OF ORIGINAL (FIRS                    |
| Original (first) application No.  | Date of filing of original (first) application                       |
| 13 IN CASE OF PATERIT OF ADD  |  |
| OR PATENT   | FILED UNDER SECTION 54, PARTICULARS OF MAIN APPLICATION              |
| Main application/patent No.   | Date of filing of main application                                   |
| 14. DECLARATIONS  |  |
| (i) Declaration by the inventor(s)  |  |
| (In case the applicant is an assignee: the invento  | r(s) may sign herein below or the applicant may upload the           |
| assignment or enclose the assignment with this appresent the this appresent transmission duly authenticated within the prescribed | plication for patent or send the assignment by post/electronic       |
| /We, the above named inventor(s) is/are the true & fi   | rst inventor(s) for this Invention and declare that the applicant(s) |
| herein is/are my/our assignee or legal representative.  | is inventor(s) for this invention and declare that the applicant(s)  |
| a) Date   |  |
| b) Signature(s)   |  |
| c) Name(s)  |  |
| ii) Declaration by the applicant(s) in the convention c   | ountry   |
| In case the applicant in India is different than th   | e applicant in the convention country: the applicant in the          |
| convention country may sign herein below or applican  | it in India may upload the assignment from the applicant in the      |
| onvention country or enclose the said assignment  | with this application for patent or send the assignment by           |
| ost/electronic transmission duly authenticated within   | the prescribed period)   |
| We, the applicant(s) in the convention country decla  | re that the applicant(s) herein is/are my/our assignee (a) Date      |
| b) Signature(s)   |  |
| :) Name(s) of the signatory   |  |
| il) Declaration by the applicant(s)   |  |
| •••   |  |
| am /We are, the applicant(s) hereby declare that: -   |  |

- I am/We are in possession of the above-mentioned invention.
- □ The provisional/complete specification relating to the invention is filed with this application.
- □ The invention as disclosed in the specification uses the biological material from India and the necessary permission from the competent authority shall be submitted by me/us before the grant of patent to me/us.
- □ There is no lawful ground of objection(s) to the grant of the Patent to me/us.
- □ I am/we are the true & first inventor(s).
- I am/we are the assignee or legal representative of true & first inventor(s).
- The application or each of the applications, particulars of which are given in Paragraph-9, was the first application in convention country/countries in respect of my/our invention(s).
- I/We claim the priority from the above mentioned application(s) filed in convention country/countries and state that no application for protection in respect of the invention had been made in a convention country before that date by me/us or by any person from which I/We derive the title.
- My/our application in India is based on international application under Patent Cooperation Treaty (PCT) as mentioned in Paragraph-10.
- □ The application is divided out of my /our application, which contains plurality of distinct inventions, particulars of which is given in Paragraph-11 and pray that this application may be treated as deemed to have been filed on DD/MM/YYYY under section 16 of the Act.
- The said invention is an improvement in or modification of the invention particulars of which are given in Paragraph-12.

#### 15. FOLLOWING ARE THE ATTACHMENTS WITH THE APPLICATION

#### (a) Form 2

| Item                              | Number of pages  | Fee                      |  |        |  |  |
|-----------------------------------|--|--------------------------|--|--------|--|--|
| Description including cover       |  |                          | Remarks                                      |        |  |  |
| page                              |  |                          |  |        |  |  |
| (Complete/provisional)#           |  |                          |  |        |  |  |
| Claim(s)                          |  |                          |  |        |  |  |
| Abstract                          |  |                          |  |        |  |  |
| Drawing(s)                        |  |                          |  |        |  |  |
|                                   |  |                          |  |        |  |  |
| Specification as the draw         | specification, if the app  | licant desires to ado    | ot the drawings filed with his provision     | al     |  |  |
| to mention the number of          | has of part of the drawin  | gs for the complete s    | pecification under rule 13(4), it is require | d      |  |  |
| to mention the number o           | such pages filed with the  | e provisional specificat | ion.   |        |  |  |
| Preliminant Superioration (I      | n conformation with the  | international applicat   | ion)/as amended before the Internationa      | 1      |  |  |
|                                   | n Authority (IPEA), as app   | licable (2 copies).      | · ·  |        |  |  |
| the sequence noting in election   |  |                          |  |        |  |  |
| (0) Drawings (in conformati       | on with the international  | application)/as amer     | ded before the International Preliminary     | ,      |  |  |
| Examination Authority (           | Examination Authority (IPEA), as applicable (2 copies).  |                          |  |        |  |  |
| (e) Priority document(s) or       | e) Priority document(s) or a request to retrieve the priority document(s) from DAS (Digital Access Service) if the |                          |  |        |  |  |
| applicant had already re          | applicant had already requested the office of first filing to make the priority document(s) available to DAS       |                          |  |        |  |  |
| (i) iransiation of priority d     | / Indisiation of priority document/Specification/International Search Report/International Preliminary Report on   |                          |  |        |  |  |
| Patentability.                    |  |                          | • •  |        |  |  |
| (g) Statement and Undertaki       |  |                          |  |        |  |  |
| (h) Declaration of Inventorshi    | p on Form 5  |                          |  |        |  |  |
| (i) Power of Authority            |  |                          |  |        |  |  |
| (j)                               |  |                          |  |        |  |  |
|                                   |  |                          |  |        |  |  |
| Total fee []in Cash/ Banker's (   | Cheque /Bank Draft bearir  | ng No Date               | on   |        |  |  |
|                                   |  |                          |  |        |  |  |
| I/We hereby declare t             | nat to the best of my/our  | knowledge, informat      | tion and belief the fact and matters         |        |  |  |
| slated herein are correct and I/V | Ve request that a patent n   | nay be granted to me/    | us for the said invention.                   |        |  |  |
|                                   |  |                          |  |        |  |  |
| Dated thisd                       | ay of20  | *****                    |  |        |  |  |
| Signature:                        |  |                          |  |        |  |  |
| Name:                             |  |                          |  | i<br>I |  |  |
| То,                               |  |                          |  |        |  |  |
| The Controller of Patents         |  |                          |  |        |  |  |
| The Patent Office, at             |  |                          |  |        |  |  |
|                                   |  |                          |  |        |  |  |

...

÷

#### Note: -

\*Repeat boxes in case of more than one entry.

\*To be signed by the applicant(s) or by authorized registered patent agent otherwise where mentioned.

\*Tick ( $\checkmark$ )/cross (x) whichever is applicable/not applicable in declaration in paragraph-13.

\*Strike out the portion which is/are not applicable.

\*For fee: See First Schedule";

#### (ii) For "Form No. 2", the following Form shall be substituted, namely:-

|                                      | FORM 2 |
|--------------------------------------|--------|
| THE PATENT ACT 1970 (39 of 1970) and |        |
| THE PATENTS RULES, 2003              |        |
| PROVISIONAL/COMPLETE SPECIFICATION   |        |
| (See section 10 and rule 13)         |        |
| 1. TITLE OF THE INVENTION            |        |
| 2. APPLICANT (S)                     |        |
| (a) NAME:                            |        |
| (b) NATIONALITY:                     |        |
| (c) ADDRESS:                         |        |

| PROVISIONAL   | COMPLETE   |
|---|--|
| The following specification describes the invention.  | The following specification particularly describes the   |
|   | invention and the manner in which it is to be performed. |
| 4. DESCRIPTION (Description shall start from next page  | which it is to be performed.                             |
| The headings of the part of the description shall start from next page  | e) .   |
| The headings of the part of the description shall prefer<br>(i) Field of Invention  | rably be as follows:                                     |
|   |  |
|   |  |
| (iii) Object(s) of Invention  |  |
| (iv) Summary of Invention   |  |
| (v) Brief Description of Accompanying Drawings  |  |
| (vi) Detailed Description of the Invention Including  | Best Method(s) for Carrying out the Invention            |
| (vii) Industrial Applicability  |  |
| (viii)List of Citations (in separate page)  |  |
| . CLAIMS (not applicable for provisional specification  | . Claims should start with the preamble- "I/We claim" on |
| eparate page)   | on and start with the preamble- "I we claim" on          |
| . DATE AND SIGNATURE (to be given at the end of last  | nage of energification)                                  |
| ABSTRACT OF THE INVENTION (to be given along with   |  |
| ote:  | complete specification on separate page)                 |
|   |  |
| Repeat boxes in case of more than one entry   |  |
| <ul> <li>Repeat boxes in case of more than one entry.</li> <li>To be signed by the applicant(s) or by authorize</li> </ul>              | d registered natent agont                                |
| <ul> <li>To be signed by the applicant(s) or by authorize</li> </ul>  |  |
| <ul> <li>To be signed by the applicant(s) or by authorize</li> <li>Name of the applicant should be given in full , factorize</li> </ul> |  |

# (iii) For "Form No. 3", the following Form shall be substituted, namely:-

-

(

| FORM 3                              |  |  |
|-------------------------------------|--|--|
| THE PATENTS ACT, 1970               |  |  |
| (39 of 1970)                        |  |  |
| and                                 |  |  |
| THE PATENTS RULES, 2003             |  |  |
| ENT AND UNDERTAKING UNDER SECTION 8 |  |  |
| (See section 8; Rule 12)            |  |  |
| . Name of the applicant(s).         |  |  |
|                                     |  |  |

|               | <u> </u>          |                    | r —  |                        |                     |  |
|---------------|-------------------|--------------------|--|------------------------|---------------------|--|
|               |                   |                    |  |                        |                     |  |
|               |                   | harabu daglara     |  |                        |                     |  |
| 2 Nome of     |                   | alley of the locat | hereby declare:  | n not modo onu         | opplication for the |  |
|               | aress and nation  | ality of the joint |  | e not made any a       |                     |  |
| applicant.    |                   |                    |  | he same invention ou   | tside mura          |  |
|               |                   |                    | Or   | have made this and     | lineting the deterd |  |
|               |                   |                    |  | have made this appl    |                     |  |
|               |                   |                    |  | with                   |                     |  |
|               |                   |                    | -  | ame invention, applic  |                     |  |
|               |                   |                    | the other countries,   | the particulars of whi | ch are given below: |  |
| Name of       | Date of           | Application        | Status of the  | Date of                | Date of grant       |  |
| the country   | application       | No.                | application  | publication            |                     |  |
| · · · · · ·   |                   | L                  |  |                        |                     |  |
|               |                   |                    |  |                        |                     |  |
| 3. Name and   | address of the as | signee             | (iii) that the right   | s in the application   | n(s) has/have been  |  |
|               |                   |                    | assigned   |                        |                     |  |
|               |                   |                    | to   |                        |                     |  |
|               |                   |                    |  |                        |                     |  |
|               |                   |                    | that I/We undertake that upto the date of grant of the patent    |                        |                     |  |
| · ·           |                   |                    | by the Controller, I/We would keep him informed in writing       |                        |                     |  |
|               |                   |                    | the details regarding corresponding applications for patents     |                        |                     |  |
|               |                   |                    | filed outside India within six months from the date of filing of |                        |                     |  |
|               |                   |                    | such application.  |                        |                     |  |
|               |                   |                    |  |                        |                     |  |
|               |                   |                    | Dated thisday o  | ıf20                   |                     |  |
| 4. To be s    | igned by the a    | opplicant or his   | Signature  |                        |                     |  |
| authorized re | gistered patent a | agent.             |  |                        |                     |  |
|               |                   |                    |  |                        |                     |  |
| 5. Name of th | ne natural person | who has signed.    | (  | ).                     |                     |  |
|               |                   |                    | То   |                        |                     |  |
|               |                   |                    | The Controller of P  | Patents,               |                     |  |
|               |                   |                    | The Patent Office,   |                        |                     |  |
|               |                   | _                  | at   |                        |                     |  |
| Note Strike   | out whichever is  | not applicable;    |  | · · ·                  |                     |  |

•

.



|   | (FORM 4)  |  |  |  |  |  |
|---|---|--|--|--|--|--|
| Т   | E PATENTS ACT, 1970                                 |  |  |  |  |  |
| (39 of 1970)  |   |  |  |  |  |  |
|   | and   |  |  |  |  |  |
| THE PATENTS RULES, 2003   |   |  |  |  |  |  |
| REQUEST FOR EXTENSION OF TIME                                       |   |  |  |  |  |  |
| [See sections 53(2), and 142 (4); rules 24B(5), 24C(10) and 80(1A)] |   |  |  |  |  |  |
| 1. Name of the applicant  | I/We  |  |  |  |  |  |
|   |   |  |  |  |  |  |
|   |   |  |  |  |  |  |
|   | hereby request for extension of time formonths(s)   |  |  |  |  |  |
|   | under section/rule                                  |  |  |  |  |  |
|   | my/our/application/Patent No                        |  |  |  |  |  |
|   | The reasons for making the request are as follows:- |  |  |  |  |  |
|   |   |  |  |  |  |  |
|   |   |  |  |  |  |  |
|   | Dated thisday of20                                  |  |  |  |  |  |
| 2. To be signed by the applicant or his                             | Signature   |  |  |  |  |  |
| authorized registered patent agent                                  | ()  |  |  |  |  |  |
|   |   |  |  |  |  |  |
| 3. Name of the natural person who has signed                        |   |  |  |  |  |  |
|   |   |  |  |  |  |  |
|   | То  |  |  |  |  |  |
|   | The Controller of Patents,                          |  |  |  |  |  |
|   | The Patent Office,                                  |  |  |  |  |  |
|   |   |  |  |  |  |  |

|                                   | at |
|-----------------------------------|----|
| Note For fee: See First Schedule; | •  |

ľ

### (v) for "Form No. 13", the following Form shall be substituted, namely:-

|  |  | FORM 13  |  |
|--|--|--|--|
| and         THE PATENTS RULES, 2003         APPLICATION FOR AMENDMENT OF THE APPLICATION FOR PATENT/         COMPLETE SPECIFICATION/ANY DOCUMENT RELATED THERETO         [See section 57; sub rule (1) of rule 81]         1. Name of the applicant(s).       //We   | THE PATENTS ACT, 1970                                    |  |  |
| THE PATENTS RULES, 2003         APPLICATION FOR AMENDMENT OF THE APPLICATION FOR PATENT/         COMPLETE SPECIFICATION/ANY DOCUMENT RELATED THERETO         [See section 57; sub rule (1) of rule 81]       [We   | (39 of 1970)   |  |  |
| APPLICATION FOR AMENDMENT OF THE APPLICATION FOR PATENT/<br>COMPLETE SPECIFICATION/ANY DOCUMENT RELATED THERETO<br>[See section 57; sub rule (1) of rule 81]         1. Name of the applicant(s).       I/We   |  | and  |  |
| COMPLETE SPECIFICATION/ANY DOCUMENT RELATED THERETO <pre>[See section 57; sub rule (1) of rule 81]</pre> 1. Name of the applicant(s).       //We   | THE PA   | TENTS RULES, 2003  |  |
| [See section 57; sub rule (1) of rule 81]         1. Name of the applicant(s).       //We  | APPLICATION FOR AMENDMENT OF THE APPLICATION FOR PATENT/ |  |  |
| 1. Name of the applicant(s).       I/We  | COMPLETE SPECIFICATION                                   | N/ANY DOCUMENT RELATED THERETO                                 |  |
|  | [See section ]   | 57; sub rule (1) of rule 81]                                   |  |
| thereto/complete specification with respect to application for patent Nodatedas highlighted in the copy hereto annexed.         My/Our reason for making this request are as follows:-   | 1. Name of the applicant(s).                             | I/We   |  |
| thereto/complete specification with respect to application for patent Nodatedas highlighted in the copy hereto annexed.         My/Our reason for making this request are as follows:-   |  |  |  |
| patent Nodatedas highlighted in the copy hereto annexed.         My/Our reason for making this request are as follows:-  |  | request leave to amend the application/any document related    |  |
| annexed.         My/Our reason for making this request are as follows:-  |  | thereto/complete specification with respect to application for |  |
| My/Our reason for making this request are as follows:-   |  | patent Nodatedas highlighted in the copy hereto                |  |
|  |  | annexed.   |  |
|  |  |  |  |
| I/We declare that no action for infringement or for the<br>revocation of the patent in question is pending before<br>Appellate Board or a Court.I/We declare that the facts and matters stated herein are true<br>to the best of my/our knowledge information and belief.I/We declare that the facts and matters stated herein are true<br>to the best of my/our knowledge information and belief.Dated thisday of20SignatureName of the natural person who has signed |  | My/Our reason for making this request are as follows:-         |  |
| I/We declare that no action for infringement or for the<br>revocation of the patent in question is pending before<br>Appellate Board or a Court.I/We declare that the facts and matters stated herein are true<br>to the best of my/our knowledge information and belief.I/We declare that the facts and matters stated herein are true<br>to the best of my/our knowledge information and belief.Dated thisday of20SignatureName of the natural person who has signed |  |  |  |
| revocation of the patent in question is pending before         Appellate Board or a Court.         I/We declare that the facts and matters stated herein are true         to the best of my/our knowledge information and belief.         2. To be signed by the applicant(s) or patentee(s)         or by his authorized registered patent agent         3. Name of the natural person who has signed   |  |  |  |
| Appellate Board or a Court.         I/We declare that the facts and matters stated herein are true to the best of my/our knowledge information and belief.         2. To be signed by the applicant(s) or patentee(s) or by his authorized registered patent agent       Dated thisday of20         3. Name of the natural person who has signed       Image: Comparison of the natural person who has signed  |  | I/We declare that no action for infringement or for the        |  |
| 2. To be signed by the applicant(s) or patentee(s) or by his authorized registered patent agent       Dated thisday of20         3. Name of the natural person who has signed       Image: Comparison of the natural person who has signed   |  | revocation of the patent in question is pending before         |  |
| to the best of my/our knowledge information and belief.         2. To be signed by the applicant(s) or patentee(s) or by his authorized registered patent agent       Dated thisday of20         3. Name of the natural person who has signed       Jated this authorized registered patent agent  |  | Appellate Board or a Court.                                    |  |
| to the best of my/our knowledge information and belief.         2. To be signed by the applicant(s) or patentee(s) or by his authorized registered patent agent       Dated thisday of20         3. Name of the natural person who has signed       Jated this authorized registered patent agent  |  |  |  |
| 2. To be signed by the applicant(s) or patentee(s) or by his authorized registered patent agent       Dated thisday of20         3. Name of the natural person who has signed       Dated this   | · · · · · · · · · · · · · · · · · · ·                    | I/We declare that the facts and matters stated herein are true |  |
| or by his authorized registered patent agent<br>Signature  |  | to the best of my/our knowledge information and belief.        |  |
| 3. Name of the natural person who has signed   | 2. To be signed by the applicant(s) or patentee(s)       | Dated thisday of20   |  |
| 3. Name of the natural person who has signed   | or by his authorized registered patent agent             |  |  |
|  |  | Signature  |  |
|  |  |  |  |
|  | 3. Name of the natural person who has signed             |  |  |
| \)   |  | ()   |  |

|                                    | То                                    |  |
|------------------------------------|---------------------------------------|--|
|                                    |                                       |  |
|                                    | The Controller of Patents,            |  |
|                                    | The Patent Office,                    |  |
|                                    | at                                    |  |
|                                    |                                       |  |
| Note For fee: See First Schedule." | · · · · · · · · · · · · · · · · · · · |  |
|                                    | ,                                     |  |

(vi) after Form 18, the following Form shall be inserted, namely:-

FORM 18 A THE PATENTS ACT,1970 and THE PATENT RULES,2003 REQUEST FOR EXPEDITED EXAMINATION OF APPLICATION FOR PATENT

--

[See section 11B and Rule 24C]

(FOR OFFICE USE ONLY) RQ. No.: Filing Date: Amount of fee Paid: CBR no: Signature:

| 1. APPLICANT(s)   |
|---|
| (A)NAME:  |
| (B) NATIONALITY:  |
| (C) ADDRESS:  |
| 2. I/Wehereby request that my/our application for   |
| patent notiled onforfor   |
| invention titledinvention titled  |
| sections 12 and 13 of the Act.  |
| or  |
| I/We hereby request that my/our divisional application for patent nofiled onfiled on                                  |
| invention titled  |
| divided out of my/our application nodateddated  |
|   |
| or  |
| I/We hereby request that my/our request for examination bearing nofor application for patent no                       |
| filed onforfor  |
| invention titledinvention titled  |
| of patent application under rule 24C and the application shall be examined under sections 12 and 13 of the Act.       |
|   |
|   |
| 3. The applicant(s) to indicate (by ticking the appropriate box) any of the grounds applicable in case of request for |
| expedited examination made by on any of the following grounds:  |
| a) that the applicant in the corresponding international application has designated Indian Patent Office as           |
| the International Searching Authority and/ or International Preliminary Examining Authority under Rule                |
| 19(A) and 19(F) as the case may be, or  |
| b) the applicant or his assignee or prospective manufacturer (licensee) has already started manufacturing             |
| of the invention in India, or   |
| c) that the applicant or his assignee or prospective manufacturer (licensee) undertakes that manufacturing            |
| the invention shall commence within two years from the date from the date of grant of patent, if the same             |
| is granted.   |
| ADDRESS FOR SERVICE IN INDIA:   |
|   |
|   |
|   |
| Dated this day of 20  |

--

#### Signature

Name of the signatory

То,

The Controller of Patent

The Patent Office, at .....

NOTE:

.

To be signed by the app11cant(s) or by his authorized registered patent agent

"Strike out the column which are not applicable.";

(vii) for "Form No. 27", the following Form shall be substituted, namely:-

|                                     |   | FORM 27              | <u> </u>          |  |  |
|-------------------------------------|---|----------------------|-------------------|--|--|
|                                     | THE PATENTS ACT, 1970   | )                    |                   |  |  |
|                                     | (39 of 1970)  |                      |                   |  |  |
|                                     | and   |                      |                   |  |  |
|                                     | The Patents Rules, 2003   |                      |                   |  |  |
| Statement regarding t               | he working of the patented Invent   | tion on commercial s | cale in India     |  |  |
| (se                                 | e section 146 (2) and sub-rule (1)  | of rule 131 ]        |                   |  |  |
| 1. Insert name, address and         | In the matter of patent No  | granted on DD,       | /MM/YYYY          |  |  |
| nationality of the patentee(s) or   | I/We  |                      |                   |  |  |
| licensee(s).                        | hereby furnish the  |                      |                   |  |  |
|                                     | following statement about the working of said patent on commercial scale: |                      |                   |  |  |
| 2. State the calendar year to which | For the year  |                      |                   |  |  |
| the statement relates.              |   |                      |                   |  |  |
| 3. The details of working.          | By Patentee:  |                      |                   |  |  |
|                                     | ltem  | Quantum              | Value (in Rupees) |  |  |
|                                     | a) Manufactured in India  |                      |                   |  |  |
|                                     | b) imported from other  |                      |                   |  |  |
|                                     | countries (give country wise  |                      |                   |  |  |
|                                     | details)  |                      |                   |  |  |
|                                     | c) Grant of Licenses  |                      |                   |  |  |
|                                     | By licensee:  | Exclusive            | Non-exclusive     |  |  |
|                                     | ltem  | Quantum              | Value (in Rupees) |  |  |
|                                     | a) Manufactured in India  |                      |                   |  |  |
|                                     | b) Imported from other  |                      |                   |  |  |
|                                     | countries (give country wise  |                      |                   |  |  |
|                                     | details)  |                      |                   |  |  |
|                                     | c) Grant of sub-licenses  |                      |                   |  |  |
|                                     |   |                      |                   |  |  |

| 4.Details of products                  |                                |
|--|--------------------------------|
| commercialized by utilizing the        |                                |
| patent                                 |                                |
| 5. If not worked, please state the     |                                |
|  | By Patentee(s)/Licensee(s):    |
| reason for not working and steps       |                                |
| being taken for working.               |                                |
| 6. To be signed by person(s) giving    | Signature of Patentee/Licensee |
| the statement.                         |                                |
| 7. Name of the natural person who      | ()                             |
| has signed along with designation      | ·······                        |
| and official seal, if any.             |                                |
|  |                                |
|  | То,                            |
|  | The Controller of Patents      |
| ĺ                                      | The Patent office              |
| Í                                      | at                             |
|  |                                |
| Note (i) Strike out whichever is not a | pplicable.                     |
| (ii) Repeat boxes in case of more      | 1                              |
|  | a man one entry. ;             |
|  |                                |

•

-

.

(viii) after Form No. 28, the following Forms shall be inserted, namely:-

| FORM 29  |   |  |  |  |
|--|---|--|--|--|
| THE PATENTS ACT, 1970                              |   |  |  |  |
| (3   | 9 of 1970)  |  |  |  |
|  | and   |  |  |  |
|  | INTS RULES, 2003  |  |  |  |
|  | R EXAMINATION AND REQUEST FOR WITHDRAWAL OF               |  |  |  |
| APPLICA  | TION FOR PATENT   |  |  |  |
| [See Section 11B(4                                 | ) and Rule 7(4) (ii)], Rule 26                            |  |  |  |
| 1. Name of the applicant                           |   |  |  |  |
|  | I/We request that the                                     |  |  |  |
|  | request for examination numbered                          |  |  |  |
|  |   |  |  |  |
|  | patent numbereddated                                      |  |  |  |
|  | be treated as withdrawn under clause (ii) of sub-rule (4) |  |  |  |
|  | of rule 7   |  |  |  |
|  | Or  |  |  |  |
|  | or  |  |  |  |
|  | I/Werequest that the                                      |  |  |  |
|  | application for patent numbered filed by me/us            |  |  |  |
| 2. To be signed by the applicant or his authorized | dated be treated as withdrawn under                       |  |  |  |
| registered patent agent                            | section 11 B (4)and rule 26.                              |  |  |  |
|  |   |  |  |  |
|  |   |  |  |  |
|  | Dated20   |  |  |  |
| 2 Name of the national nerves who has signed       |   |  |  |  |
| 3. Name of the natural person who has signed       |   |  |  |  |
|  | Signature   |  |  |  |
|  |   |  |  |  |
|  | ()  |  |  |  |
|  |   |  |  |  |
|  |   |  |  |  |
| То   |   |  |  |  |
|  | The Controller of Patents                                 |  |  |  |
|  |   |  |  |  |
| Patent Office at                                   |   |  |  |  |
|  |   |  |  |  |

#### FORM 30

-

### 1970, THE PATENTS ACT

# (39 of 1970)

and

# THE PATENTS RULES,2003

# TO BE USED WHEN NO OTHER FORM IS PRESCRIBED

[See sub-rule (2) of Rule 8 ]

| 1. Name of the Applicant/Patentee/Other   | I/We                       |   |               |   |
|---|----------------------------|---|---------------|---|
| 2. Complete address including postal index  | House No.                  |   | Telephone No. |   |
| number/code and State along with email ID,  | Street                     |   | Mobile No.    |   |
| telephone, mobile and fax number.   |                            |   |               |   |
|   |                            |   |               |   |
|   | City                       |   | Fax No.       |   |
|   | State                      | _ | Γ Γάλ ΝΟ.     |   |
|   | Country                    | _ |               |   |
|   | Pin code                   |   | Email ID      |   |
| <ul><li>3. Application No. / Patent No.</li><li>4. Relevant section / rules</li></ul> |                            |   |               |   |
| 5. Purpose of request   |                            |   |               |   |
| 6. Details of request   |                            |   |               |   |
| 7. To be signed by applicant  | Signature                  |   |               |   |
| 8. Name of the natural person who has signed  | ()                         |   |               |   |
| along with designation and official seal, if any.                                     | (                          |   |               |   |
|   | То,                        |   |               |   |
|   | The Controller of Patents, |   |               | į |
|   | The Patent Office,         |   |               |   |
|   |                            |   |               |   |
|   |                            |   |               |   |

#### F.No. 14/3/2014. IPR-III

20 garwal) (Raiiv A

Joint Secretary to the Government of India

Note: The Prinicipal rules were published in the Gazette of India, Extraordinary, Part II, Section 3, sub section ii vide S.O.493 (E) dated the 2<sup>nd</sup> May, 2003 and subsequently amended vide notification number

(i) S.O. 1418 (E), dated the 28<sup>th</sup> December, 2004;

(ii) S.O. 657 (E), dated the 5th May, 2006;

(iii) S.O. 2296 (E), dated the 25th September, 2012;

( iv) S.O. 1029 (E), dated the 23rd April, 2013, and

(v) GSR 125 (E) dated the 28th February, 2014.